FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Hong Eleanor | | | | | 2. Issuer Name and Ticker or Trading Symbol Smart & Final Stores, Inc. [SFS] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | |
|---|--|--|------------|---|---|---|--|----------------------|--|-----------------------------|--|---|---|---|----------------|--|------------|--|
| (Last) 600 CITAD | (First) (Middle) DEL DRIVE | | | 3. Date 03/03/2 | | rliest Transa | action (Month/Day/Year) | | | | × | | er (give title | and CM | Other (sbelow) | | | |
| (Street) COMMERO (City) | COMMERCE CA 90040 | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| | | | T | able I - Non- | Derivat | ive Se | curities Ac | quired, Di | spos | ed of, or Be | enefic | ially O | wned | | | | | |
| Date | | | | ion 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) 4. Securit Disposed and 5) | | | | | | Secur Benef Owne | icially d | Form: Direct (D) or Indirect (I) | | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | Code | v | Amount | (| D) | Price | Repor Trans (Instr. | eported ansaction(s) estr. 3 and 4) | | | (Instr. 4) | |
| common stock, par value \$0.001 03/03/20 | | | | 18 | | F | | 4,365 ⁽¹⁾ | | D \$7.35 | | 89,076 | | |) | | | |
| | | | | Table II - D (e | | | | | | d of, or Ber ertible sec | | | ned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | rivative Conversion Date Execution or Exercise (Month/Day/Year) if any | | tion Date, | Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Dei Sec (Ins | | 9. Number of derivative Securities Seneficially Owned Following Reported Transaction (Instr. 4) | Ow For Dir or I (I) (| nership | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |

Date

Exercisable

Expiration

Date

Explanation of Responses:

1. Represents shares of common stock withheld to satisfy applicable tax withholding obligations.

/s/ Leland P. Smith, by power of

03/06/2018

attorney

** Signature of Reporting Person

Shares

Title

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Document Format Files

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